

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kiichiro SAKASHITA, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: AN ABSORBING ROD, AN APPARATUS FOR INSERTING THE ABSORBING ROD, A CASK, AND A METHOD OF STORING SPENT FUEL ASSEMBLIES



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

PETITION

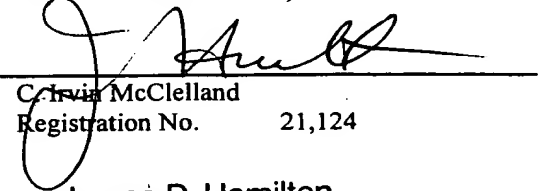
- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
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C. Travis McClelland  
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James D. Hamilton  
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DOCKET NO.: 198801US3

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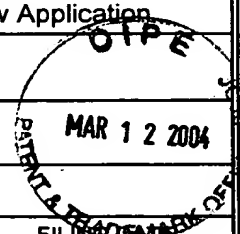
FOR: AN ABSORBING ROD, AN APPARATUS FOR INSERTING THE ABSORBING  
ROD, A CASK, AND A METHOD OF STORING SPENT FUEL ASSEMBLIES

**STATEMENT OF RELEVANCY**

**References AP and AW of Form PTO-1449.**

The prior art documents are identified in the specification.

|                                       |  |  |  |   |  |                               |  |
|---------------------------------------|--|--|--|---|--|-------------------------------|--|
| Form PTO 1449<br>(Modified)           |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |  | ATTY DOCKET NO.<br>198801US3            |  | SERIAL NO.<br>New Application |  |
| LIST OF REFERENCES CITED BY APPLICANT |  |  |  | APPLICANT<br>Kiichiro SAKASHITA, et al. |  |                               |  |
|                                       |  |  |  | FILING DATE<br>Herewith                 |  | GROUP                         |  |



| U.S. PATENT DOCUMENTS |    |                    |      |      |       |              |                               |
|-----------------------|----|--------------------|------|------|-------|--------------|-------------------------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|                       | AA |                    |      |      |       |              |                               |
|                       | AB |                    |      |      |       |              |                               |
|                       | AC |                    |      |      |       |              |                               |
|                       | AD |                    |      |      |       |              |                               |
|                       | AE |                    |      |      |       |              |                               |
|                       | AF |                    |      |      |       |              |                               |
|                       | AG |                    |      |      |       |              |                               |
|                       | AH |                    |      |      |       |              |                               |
|                       | AI |                    |      |      |       |              |                               |
|                       | AJ |                    |      |      |       |              |                               |
|                       | AK |                    |      |      |       |              |                               |
|                       | AL |                    |      |      |       |              |                               |
|                       | AM |                    |      |      |       |              |                               |
|                       | AN |                    |      |      |       |              |                               |

| FOREIGN PATENT DOCUMENTS |    |                    |          |                               |  |   |
|--------------------------|----|--------------------|----------|-------------------------------|--|---|
|                          |    | DOCUMENT<br>NUMBER | DATE     | COUNTRY                       | TRANSLATION<br>YES                      NO |   |
|                          | AO | 4-248499           | 09/03/92 | Japan (with English abstract) |  | x |
|                          | AP | 62-242725          | 10/23/87 | Japan (with English abstract) |  | x |
|                          | AQ |                    |          |                               |  |   |
|                          | AR |                    |          |                               |  |   |
|                          | AS |                    |          |                               |  |   |
|                          | AT |                    |          |                               |  |   |
|                          | AU |                    |          |                               |  |   |
|                          | AV |                    |          |                               |  |   |

| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) |    |   |
|---|----|---|
|   | AW | Kunio MARUOKA, "SPENT-FUEL STORAGE CONTAINER BY MITSUBISHI HEAVY INDUSTRIES, LTD.", Nuclear Viewpoints, Vol. 44/No. 4, April 1998, (4 pages Japanese text, 3 pages English text). |
|   | AX |   |
|   | AY |   |
|   | AZ |   |

|          |                 |
|----------|-----------------|
| Examiner | Date Considered |
|----------|-----------------|

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.